

## Awareness Session on AML/CFT for the Securities Sector – May 27, 2025

The Securities and Exchange Commission of Sri Lanka (SEC), in collaboration with the Financial Intelligence Unit (FIU), conducted an awareness session on Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) for Compliance Officers of Stockbrokers, Margin Providers, Investment Managers, and Collective Investment Managers/Unit Trusts, as well as Investment Advisors from Stockbrokers.



The session was held on May 27, 2025, at the Auditorium of the Centre for Banking Studies, Central Bank of Sri Lanka, with the participation of approximately 325 attendees. The objective of the session was to equip participants with the knowledge and tools required to identify and mitigate risks associated with Money Laundering (ML) and Terrorist Financing (TF).

The event commenced with a keynote address by Dr. (Mrs.) Subhani Keerthiratne, Director of the FIU, who emphasized the importance of compliance with AML/CFT obligations. This was followed by a presentation on the legal framework for AML/CFT and the identification of suspicious transactions by Dr. Ayesh Ariyasinghe. The session concluded with a discussion on the practical aspects of Customer Due Diligence and Targeted Financial Sanctions, delivered by Mrs. Theja Pathberiya, Deputy Director of the FIU.