

Awareness Session on Stockbroker and Central Depository Systems (CDS) Operations for Financial Intelligence Unit (FIU) Staff - At Auditorium, Securities and Exchange Commission of Sri Lanka (SEC)

A knowledge-sharing session on Stockbroker Operations and CDS Operations was successfully conducted by the SEC for the staff of the FIU recently, at the SEC Auditorium.



The session aimed to enhance the FIU's understanding of stockbrokerage practices and CDS-related functions, particularly in the context of supervisory and investigative responsibilities under the Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) framework.

The key resource persons for the session were Mr. Gihan Cooray, CEO of NDB Stockbrokers (Pvt) Ltd and President of the Colombo Stockbrokers Association, and Mr. Nadeera Athukorala, Head of the CDS.

The session provided valuable insights into operational processes, transaction flows, and regulatory obligations relevant to market intermediaries. It served as a vital step in strengthening inter-agency collaboration and aligning supervisory approaches between the FIU and the SEC.